Public Service of Wallonia

FORM FOR OPENING A SECURITIES ACCOUNT
for green certificates (GC) and/or guarantees of origin (GO)
for electricity and/or green gas

for intermediaries and/or assignees

Public Service of Wallonia
Direction de l'Organisation des Marchés régionaux de l'Energie (Regional Energy Markets Organisation Directorate)
Rue des Brigades d'Irlande, 1
B – 5100 JAMBES
certificatsverts@spw.wallonie.be

A copy of this form, duly filled in and signed, must be kept by the account holder.

Admissibility of the application
This application will be deemed inadmissible by the Authority and will be returned to you if any of the information on the form is found to be incorrect, missing or illegible. It will also be deemed inadmissible if all the appendices required at the end of the form are not enclosed with the application.

1. Information about the organisation

Name of the organisation:				
Desired trade name:		Legal status:		
Company number :		Subject to VAT :	☐ YES	☐ NO
Registered office address:		Country:		
Legally represented by (declarant):				
Surname :		First name :		☐Mr ☐Ms
Role :		Direct tel. :		
Email :				

Registration with the Authority (SPW Energy) and the EECS database

The organisation is already registered with the Authority: ☐ YES ☐ NO
If yes, please provide the account number:

3	3	X							

The declarant is already registered in an EECS database outside Wallonia (for GOs only): ☐ YES ☐ NO
If yes, specify domain(s) and account number(s):

2. Type of application[1]

The duly authorised declarant is applying to open a securities accounts for:

- ☐ Green certificates
- ☐ Electricity guarantee of origin labels
- ☐ Gas guarantee of origin labels

The declarant authorises the persons mentioned in Point 4 to manage the accounts on a day-to-day basis (read and write access) and requests to be registered as:

- ☐ Assignee (third-party investor)
- ☐ Intermediary (purchase and sale on the market)[2]

3. Securities accounts

The securities accounts opened will be of the current type: the holder of the securities account is both the holder of the deposited securities and their owner. If the intermediary acts as a broker, they are simply the holder of the securities deposited in their securities accounts, which they are responsible for selling; their owner remains the holder of the last current securities account through which the securities passed. These securities must be deposited in a secondary current account opened in the name of each customer.

- ☐ By ticking this box, the intermediary declares that they are engaged in brokerage activities and undertake to comply with the above provisions.

4. Agents

Agent no. 1:

Surname - First name		Tel./Mobile	
Role		Email	
Signature			

Agent no. 2:

Surname - First name		Tel./Mobile	
Role		Email	
Signature			

[1] Multiple options may be selected
[2] Unless otherwise specified by the declarant, the organisation will be included on the list of potential buyers of GCs and/or GOs published on the Authority's website. The declarant may specify a contact address different to the one mentioned in this form.

5. Applicable legal framework

The declarant certifies that the organisation has sufficient financial capacity to carry out this activity and professional civil liability insurance covering the exercise of this activity. They also certify that neither they nor any other member of the organisation's management committee or board of directors has been convicted of an offence affecting the applicant's integrity in the five years preceding this application.

The declarant acknowledges that the Authority has the right to verify compliance with the above conditions and that, in the event of non-compliance, it is authorised to suspend access to the securities account until such time as the situation is rectified.

The declarant acknowledges that in the event of a dispute concerning the existence, interpretation or execution of this application for opening an account or concerning any other legal consequence arising from this application, Belgian law shall apply and the Belgian courts and tribunals shall have exclusive jurisdiction.

6. Documents that must be provided

- Declarant's ID
- Articles of association published in the Belgian Official Gazette (Moniteur Belge) (legal entity)[3]
  The organisation must have legal personality and be established or domiciled in a Member State of the European Economic Area or in Switzerland.
- The 'Know Your Customer' (KYC) form will be sent after this application has been examined.

I, the undersigned, solemnly swear:

- that I am duly authorised to represent the company mentioned herein (for legal entities)
- that the information provided in this account amendment form and its appendices, is true and accurate

SIGNED IN _____ **ON** __ __ / __ __ / __ __ __ __

DECLARANT'S SIGNATURE:

[3]For organisations governed by foreign law established in the European Economic Area or in Switzerland, the copy of the articles of association as published in the Belgian Official Gazette (Moniteur Belge) shall be replaced by a copy of the articles of association as legally established and published in the country of origin and by proof of the capacity of the signatories below to commit the organisation.